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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants:

Yoichiro SAKO et al.

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Serial No.:

09/830,312

Filed:

April 24, 2001

For:

DATA RECORDING MEDIUM, DATA REPRODUCING METHOD AND REPRODUCING DEVICE, AND DATA PROCESSING

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SYSTEM

Group A.U.:

2652

I hereby certify that this paper is being deposited this date with the U.S. Postal Service in first class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 292711

Jay H. Maioli Reg. No. 27,213

Date 0.12.02

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Technology Center 2600

April 12, 2002 1185 Avenue of the Americas New York, NY 10036 (212) 278-0400

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

As a means of complying with the duty of disclosure set forth in 37 C.F.R. § 1.56 and in keeping with the guidelines of 37 C.F.R. § 1.98, Applicants hereby submit information thought to be relevant to the examination of the above-identified application. Also submitted herewith is a completed form PTO-1449.

This information came to light during the examination of a counterpart application in the European Patent Office in an

Office Action dated February 18, 2002. Accordingly, the undersigned hereby certifies that the information submitted herewith is being submitted within three months from the date of that Office Action.

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Applicants, through their undesigned attorney, hereby certify that, unless submitted herewith, no English language translation is presently available to those individuals identified in 37 CFR § 1.56(c) for any non-English language reference(s) cited.

To the best knowledge of the undersigned, no First Office Action has been mailed in this application.

Japanese Patent Application JP 10 188538 (Sony Corp.) apparently relates to a reading device for identification information of recording medium cartridge, recording and reproducing apparatus using the same, and information management apparatus.

U.S. Patent No. 6,084,736 (Kurokawa, et al.) is the corresponding patent family member to the above cited Japanese patent.

European Patent Publication No. 0 785 547 A2 (Green) apparently relates to a unique and permanent identifier for optical media.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required for this submission, the Commissioner is authorized to charge the requisite fee to Deposit Account No. 03-3125.

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Respectfully submitted, COOPER & DUNHAM LLP

Jay H. Maioli Reg. No. 27,213

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Sheet 1 of 1

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